

CONFLICT OF INTEREST CODE
OF THE
BIG INDEPENDENT CITIES EXCESS POOL
JOINT POWERS AUTHORITY

The Political Reform Act (Gov. Code § 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. § 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing Section 18730 may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This incorporation page, Regulation 18730 and the attached Appendix designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the **BIG INDEPENDENT CITIES EXCESS POOL JOINT POWERS AUTHORITY** (the "Authority").

All officials and designated employees required to submit a statement of economic interests shall file their statements with the **BIG INDEPENDENT CITIES EXCESS POOL JOINT POWERS AUTHORITY**. The **Authority** shall retain the originals of the statements filed by all officials and designated positions and will make the statements available for public inspection and reproduction during regular business hours. (Gov. Code § 81008).

APPENDIX
CONFLICT OF INTEREST CODE
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PART "A"

Other officials who manage public investments, as defined by 2 California Code of Regulations section 18700.3 are NOT subject to the Authority's Code but must file disclosure statements under Government Code section 87200 et seq. [Regs. § 18730(b)(3)]

OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

It has been determined that the positions listed below are other officials who manage public investments¹. These positions are listed here for informational purposes only.

Investment Consultants

¹ Individuals holding one of the above-listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by § 87200.

DESIGNATED POSITIONS

<u>Designated Positions'</u> <u>Title or Function</u>	<u>Disclosure Categories</u> <u>Assigned</u>
Members of the Board of Directors	All
Alternate Directors	All
Officers	All
General Manager/Secretary	All
Underwriting/Claims Manager	All
Accountant	All
General Legal Counsel	All
Consultants and New Positions*	All

*Individuals providing services as a Consultant defined in Regulation 18700.3 or in a new position as defined in Regulation 18734 that makes or participates in making decisions shall disclose pursuant to the broadest disclosure category in this Code subject to the following limitation:

The General Manager may determine that, due to the range of duties or contractual obligations, it is more appropriate to assign a limited disclosure requirement. A clear explanation of the duties and a statement of the extent of the disclosure requirements must be in a written document. (Gov. Code § 82019; FPPC Regs 18219 and 18734.). The General Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code. (Gov. Code § 81008.)

PART "B"

DISCLOSURE CATEGORIES

The disclosure categories listed below identify the types of economic interests that the designated position must disclose for each disclosure category to which he or she is assigned. "Investment" means financial interest in any business entity (including a consulting business or other independent contracting business) and are reportable if they are either located in, doing business in, planning to do business in, or have done business during the previous two years in the jurisdiction of the District.

Category 1. All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, of the type to contract with the Big Independent Cities Excess Pool Joint Powers Authority (the "Authority") to supply materials, commodities, supplies, books, machinery, vehicles or equipment utilized by the Authority.

Category 2. All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, which are contractors, or subcontractors, engaged in the performance of work or services of the type utilized by the Authority, including, but not limited to, insurance companies, carriers, holding companies, underwriters, agents or accounting firms.

Category 3. All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, which have filed claims against the Authority.

Category 4. All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, from banks or savings and loans.

Category 5. All investments and business positions in, and income, including gifts, loans and travel payments, from, business entities which are of the type in which the Authority is empowered to invest its funds.

This is the last page of the conflict of interest code for **Big Independent Cities Excess Pool JPA**.



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict of interest code for **Big Independent Cities Excess Pool JPA** was approved on 10/18/ 2016. This code will become effective on 11/17/ 2016.

A handwritten signature in black ink, appearing to be "B. Lau", written over a horizontal line.

Brian G. Lau
Senior Commission Counsel
Fair Political Practices Commission